



Falcon Analytics

Development Trends in High-Power Free-Electron Lasers

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TECHNION
Israel Institute of Technology

Acknowledgements

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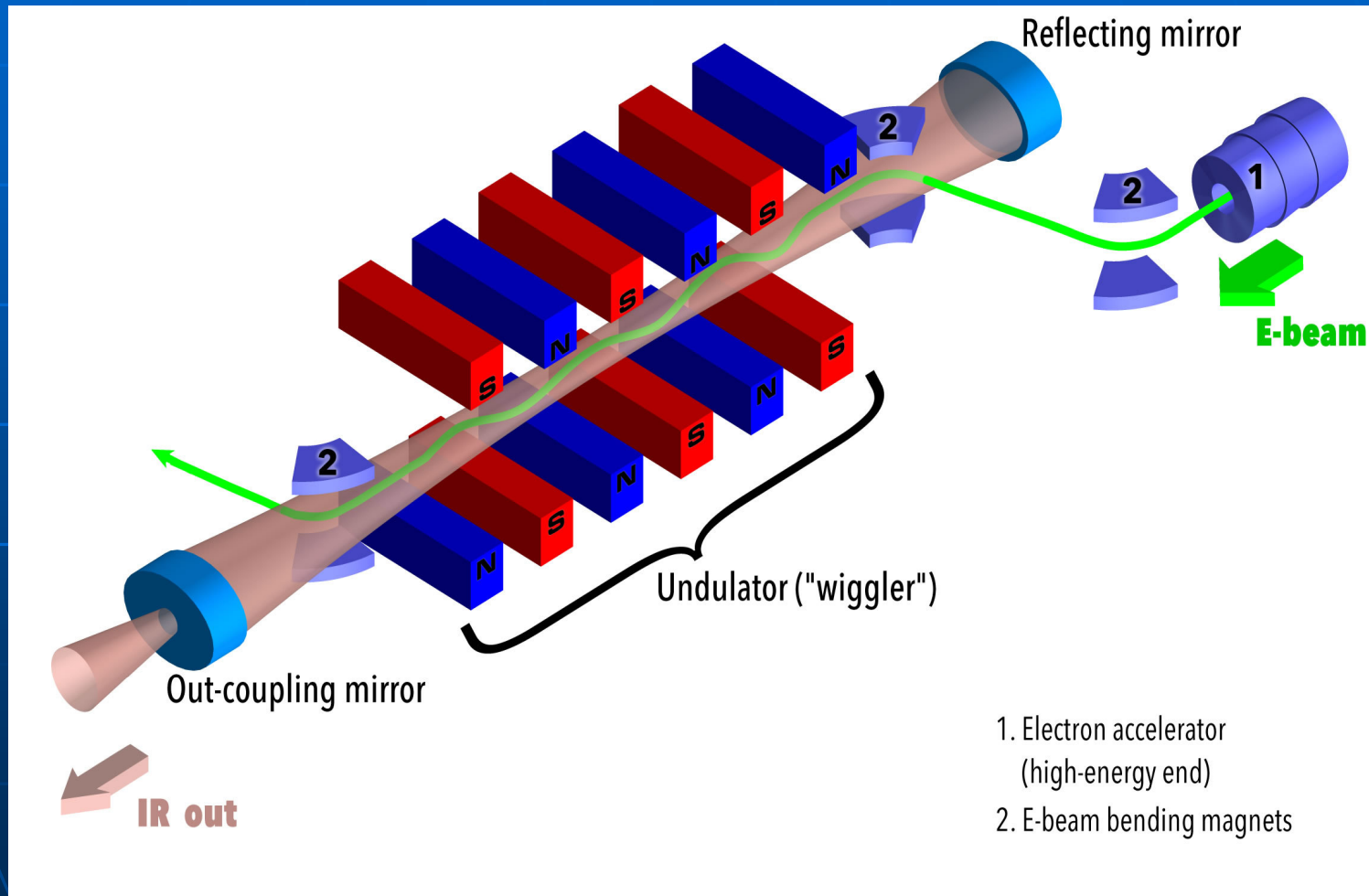
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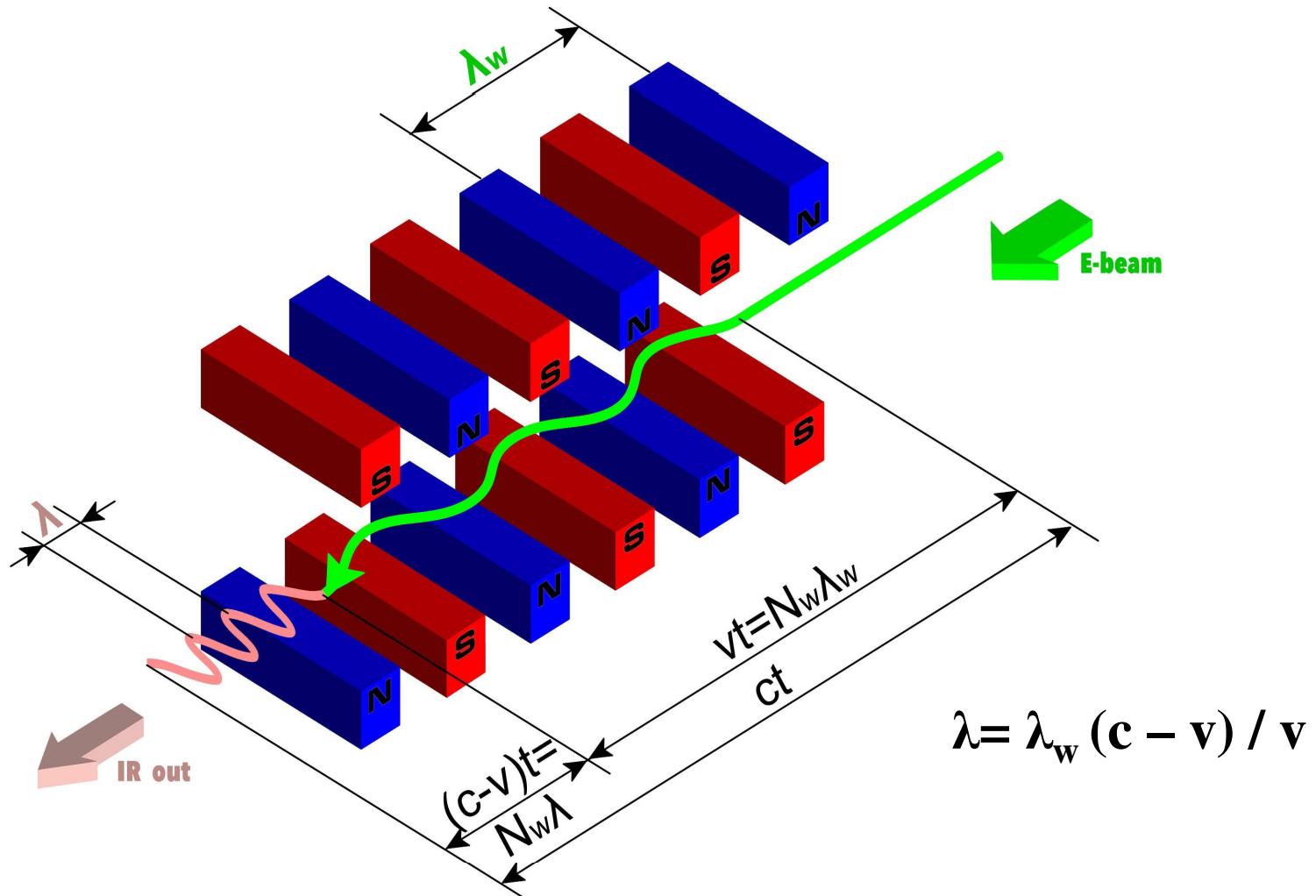
- FEL basics
- FEL technology – status
- Subsystems and working regimes
- Increasing efficiency
- System view
- Applications
- Conclusions

- Though called “laser”, FEL is essentially a big electron-beam vacuum tube
- Though optical or even hard X-ray radiation is emitted, the FEL is fully described by classical electrodynamics & mechanics (relativistic)

FEL – operation principle



FEL wavelength



FEL wavelength

Relativistic kinematics – speed vs. energy

$$\gamma = E/m_e c^2 - \text{Lorentz factor} \quad m_e c^2 = 0.511 \text{ MeV}$$

electron rest mass

$$\gamma = 1 / [1 - (v/c)^2]^{1/2}$$

$$v = c [1 - 1/\gamma^2]^{1/2}$$

Energy	Electron speed
	v/c
$m_e c^2 + 10 \text{ keV}$	0.20
$m_e c^2 + 100 \text{ keV}$	0.55
$1 \text{ MeV} = m_e c^2 + 489 \text{ keV}$	0.86
10 MeV	0.999
100 MeV	0.99999

FEL wavelength

$$\lambda = k \lambda_W / 2\gamma^2$$

λ IR wavelength

λ_W undulator period

γ Lorentz-factor

$$\gamma = E/m_e c^2$$

$k \sim 1-2$

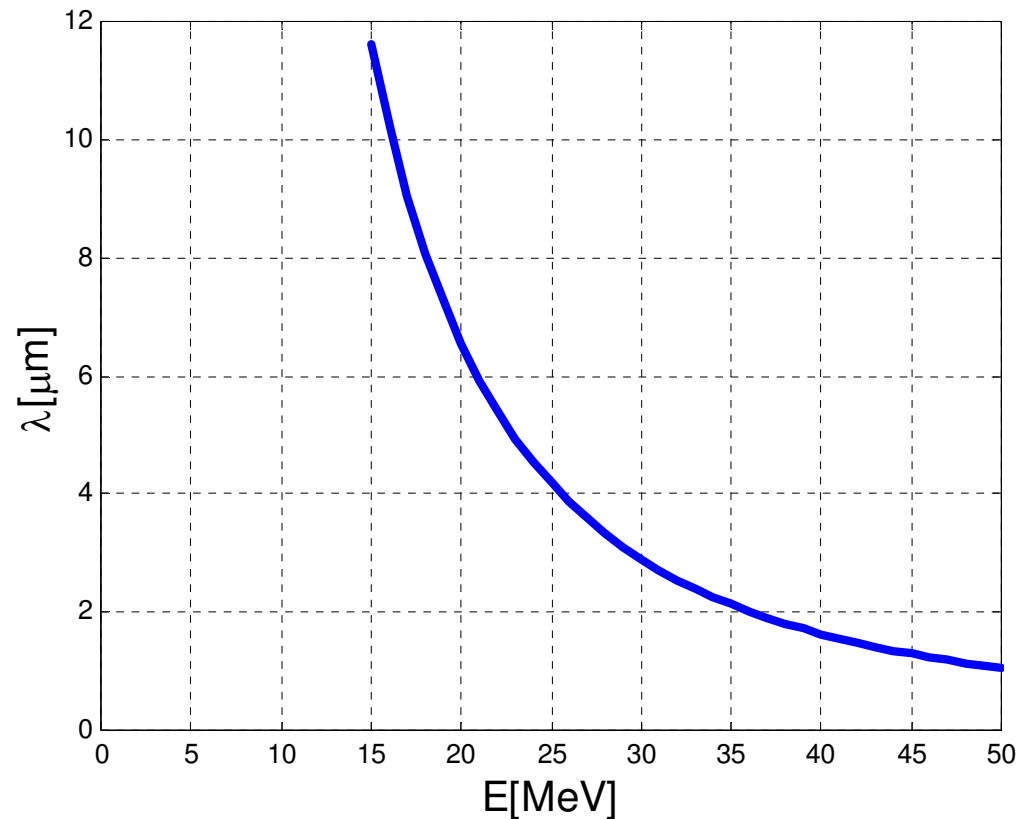
sometimes higher (LCLS)

$$\lambda = 1-10 \mu\text{m}$$

$$\lambda_W = 2 \text{ cm}$$

$$E(\text{beam}) = 15-50 \text{ MeV}$$

$$m_e c^2 = 0.511 \text{ MeV}$$



FEL – operation principle

- Vanderbilt University animation

http://www.vanderbilt.edu/exploration/multimedia/flash/fel/fel_works.htm

FELIX IR user facility (Netherlands)

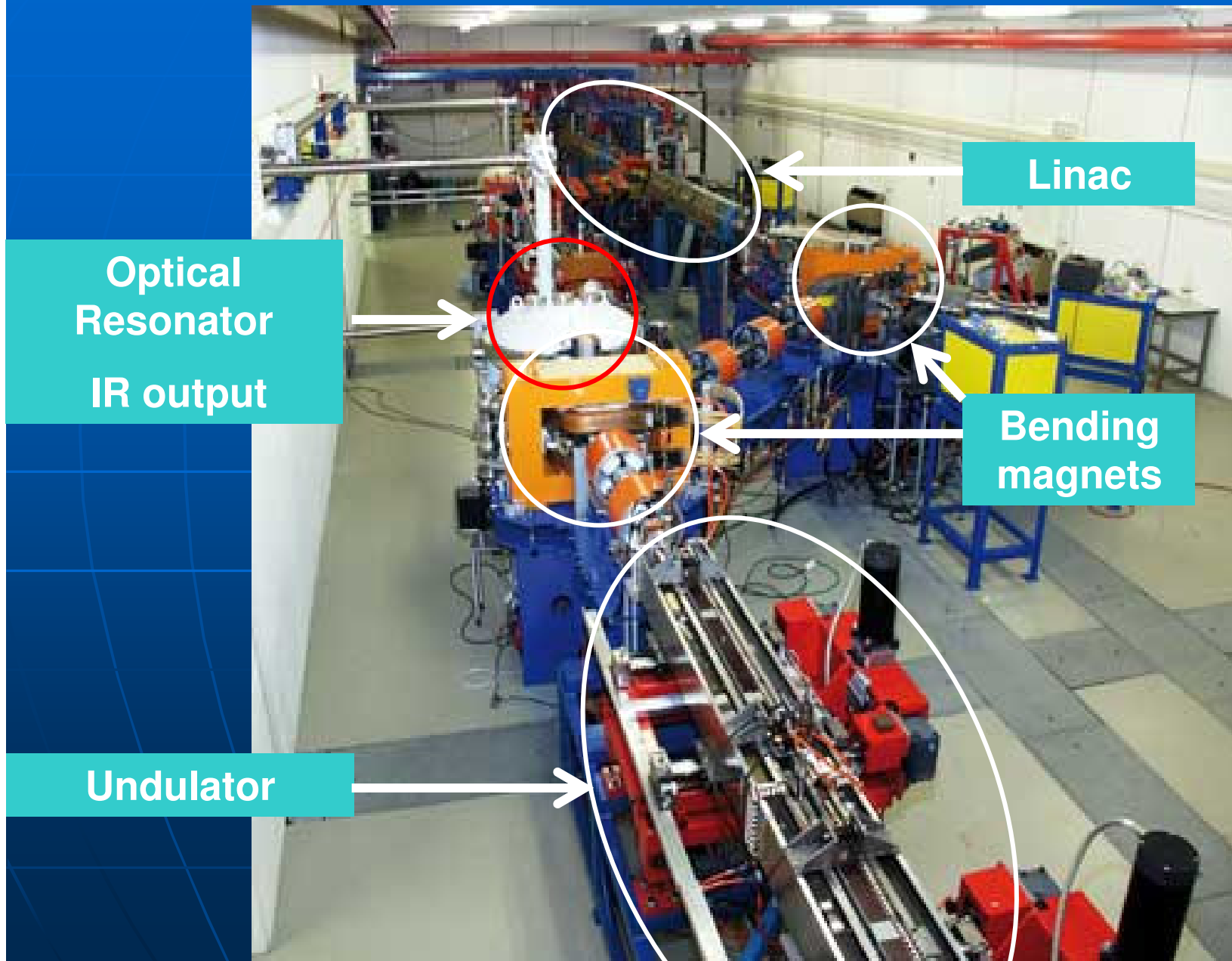


$\lambda=3-250\mu\text{m}$

>100kW
peak

~10W
average

FELIX IR user facility (Netherlands)



FEL Advantages

- Tunability 10 GHz - 1Å
- Excellent beam quality $M^2 < 1.1$
- High peak power (10+ MW)
- All-electric

Challenges

- No industrial experience
- Low efficiency aim: 10%
- Ionizing radiation
- Size, cost

FEL spectral coverage

FEL technology: X-band (10 GHz) =>
X-rays ($1 \text{ \AA} = 0.1 \text{ nm}$)

Single FEL machine: $\sim 1.5\text{-}2$ decades of λ
(with 2-3 undulators)

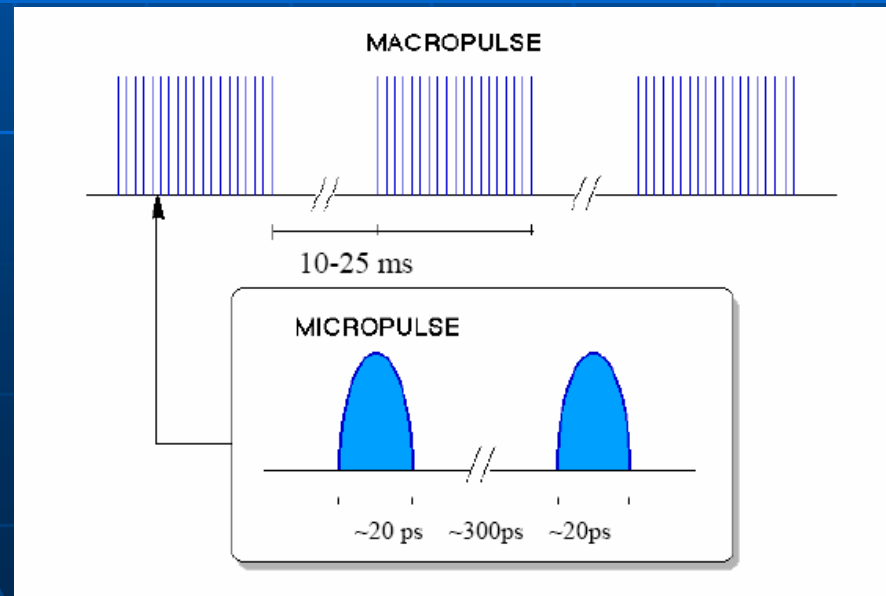
Areas of interest

X to VUV 0.1 - 200 nm

Mid- to far-IR 1.5 - 1000 μm

FEL power

- micropulse (20 ps) 1-10+ MW
- MACROpulse (10 μ s) 100-500 kW
- Average 0.1-100 kW



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FEL maturity – signs

- New FEL user centers
- Scale-up $\times 40$ in 2009 (SLAC)
- First commercially-built e-beamline
- US Navy \rightarrow industry (Boeing)

Operating FELs – for Scientific Research

X/UV FEL facilities

- | | | |
|----|--------------------------------|---------|
| 1. | LCLS – SLAC | US |
| 2. | FLASH – DESY | Germany |
| 3. | European UV/VUV FEL at Elettra | Italy |
| 4. | Duke University FEL Laboratory | US |

IR FEL Facilities

- | | | |
|----|-------------------------|-------------|
| 1. | Jefferson Lab | US |
| 2. | FELBE – FZD | Germany |
| 3. | FELIX – FOM | Netherlands |
| 4. | CLIO – LCP (Orsay) | France |
| 5. | AIST/Kawasaki | Japan |
| 6. | Budker Institute (BINP) | Russia |

FEL research facilities – in construction

X/UV

- | | | |
|----|-----------------|-------------|
| 1. | SCSS – SPring-8 | Japan |
| 2. | European XFEL | Germany |
| 3. | Swiss FEL – PSI | Switzerland |
| 4. | MAX-IV | Sweden |
| 5. | FERMI@ Elettra | Italy |

IR

- | | | |
|----|-----------------------------|-------------|
| 1. | Fritz Haber Institute (FHI) | Germany |
| 2. | FLARE – Radboud University | Netherlands |
| 3. | ALICE – Daresbury | UK |

FLARE THz FEL



FLARE – Radboud University, Nijmegen, Netherlands
Wavelength: 0.1-1.5 mm

Courtesy

Scale-up: LCLS (2009)

Record-short wavelength:

FLASH (DESY)

LCLS

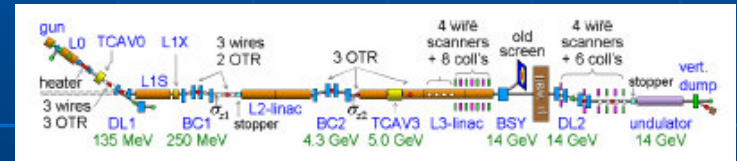
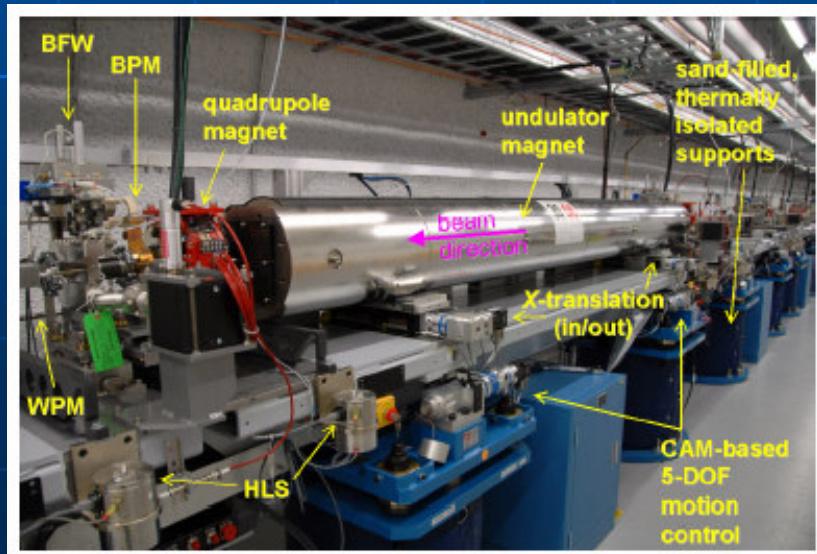
λ = **6.5 nm** => **0.15 nm**

E_{photon} = **0.2 keV** => **8 keV**

Scale-up $\times 40$ in one blow

**FEL technology is
well understood!**

LCLS at SLAC



1 km



IR-THz FEL at Fritz Haber Inst (Berlin)



Wavelength: 4-500 μm

P(peak) \sim 10 MW

P(av) \sim 10 W

In commissioning

Turn-key e-beamline

Putting Accelerator Technology to Work



FEL Progress 1990-2010

<i>Sub-system</i>	<i>Progress</i>
Injection	Considerable
Acceleration	Major
FEL interaction	Considerable

Reliability up

RF sources
e-beam control

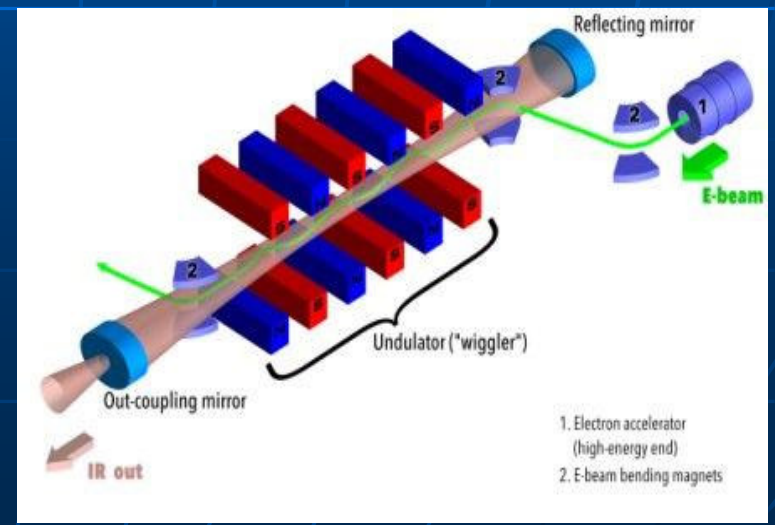
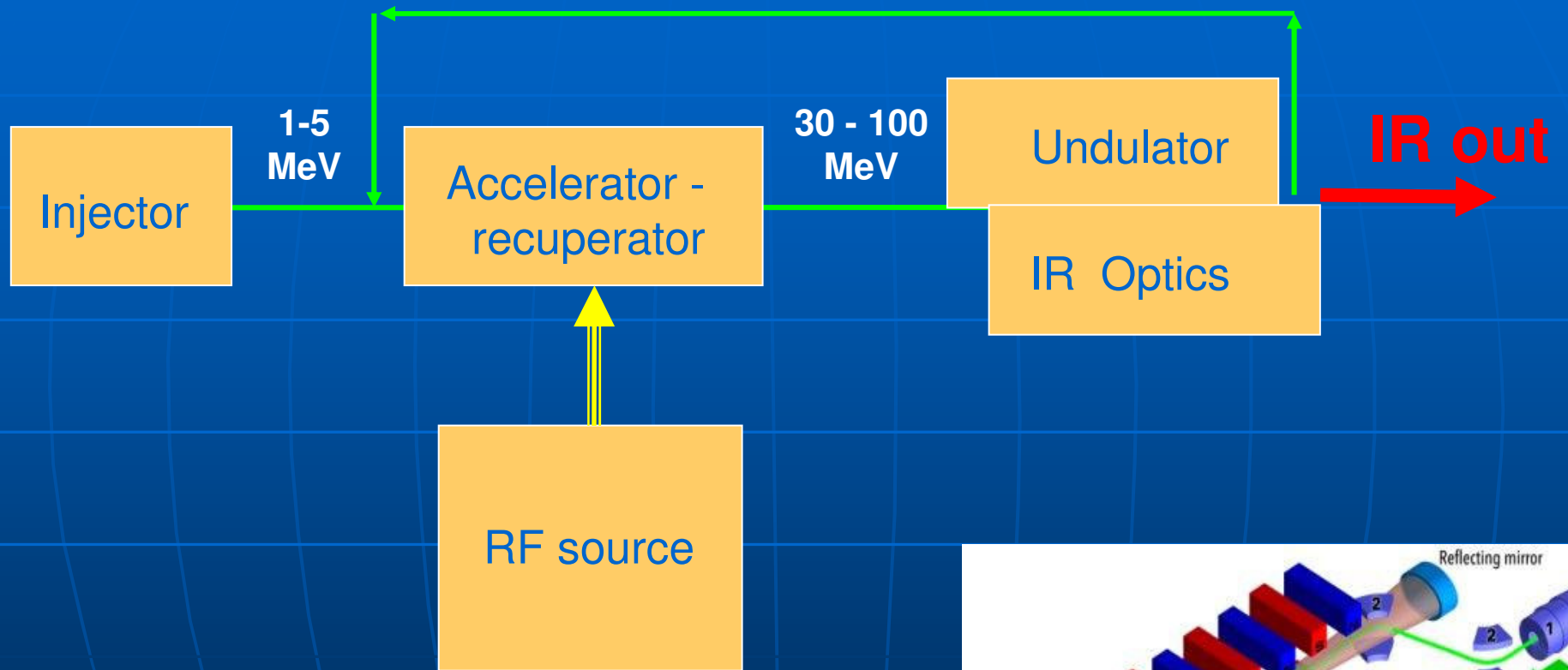
Cost down

energy-recovery
multi-turn accelerating
RF sources
control hardware

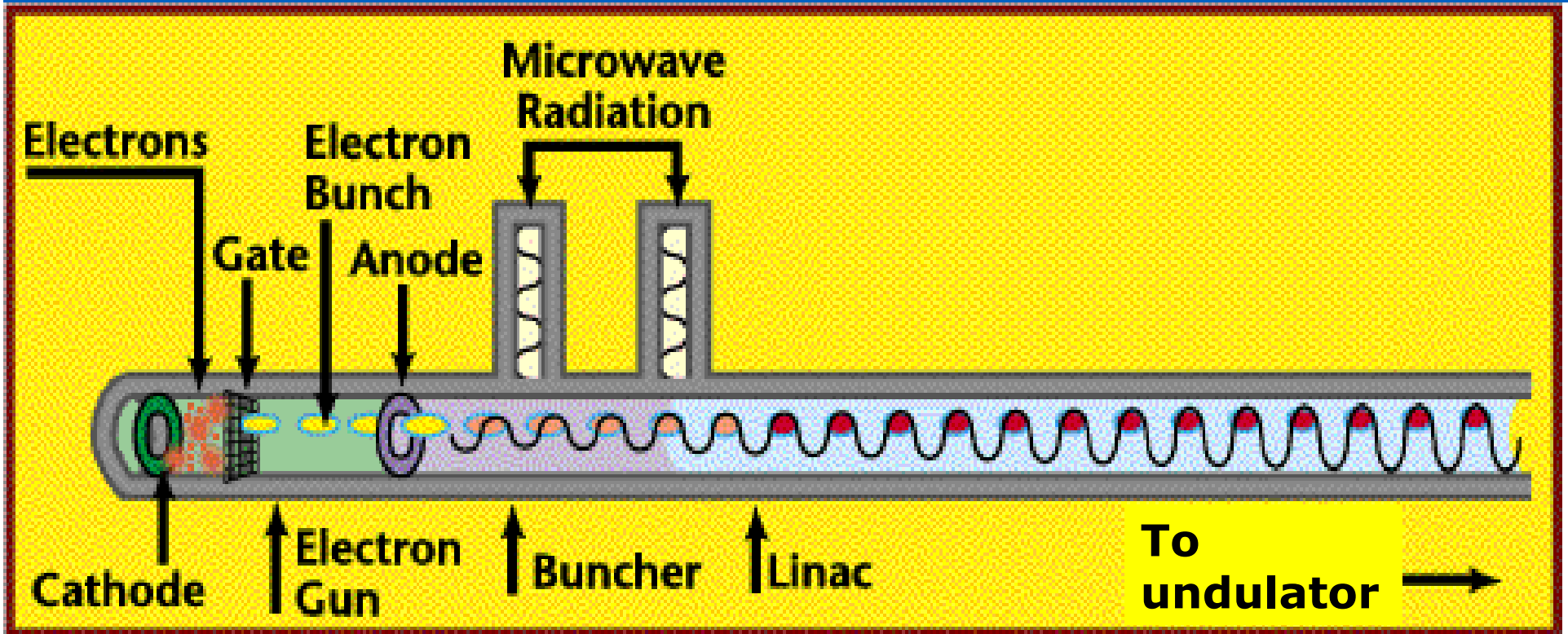
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FEL Subsystems



Electron acceleration system: RF-linac

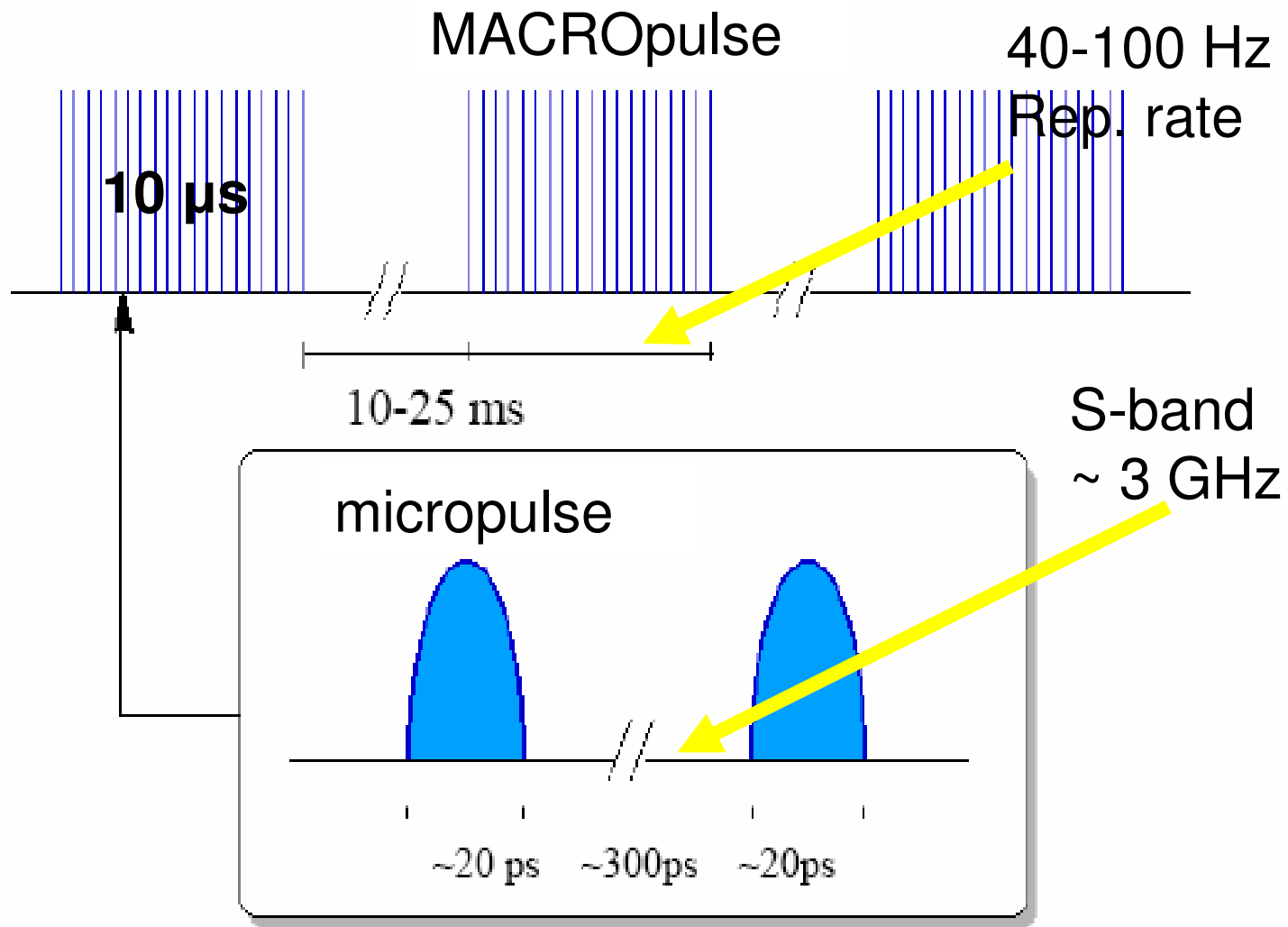


Injector

Accelerator

RF-linac pulse structure

all numbers – for illustration only



FEL gain regimes

FEL single-pass gain (G)

$$G = [P(out) / P(in)]_{SINGLE PASS}$$

- Low gain $G \sim 20-30\%$
- High (exponential) gain
 $G > 10^3$

FEL – operation modes

■ Oscillator

- Low-gain $\sim 20\%$ per pass
- High-gain $\sim 10^3$ per pass

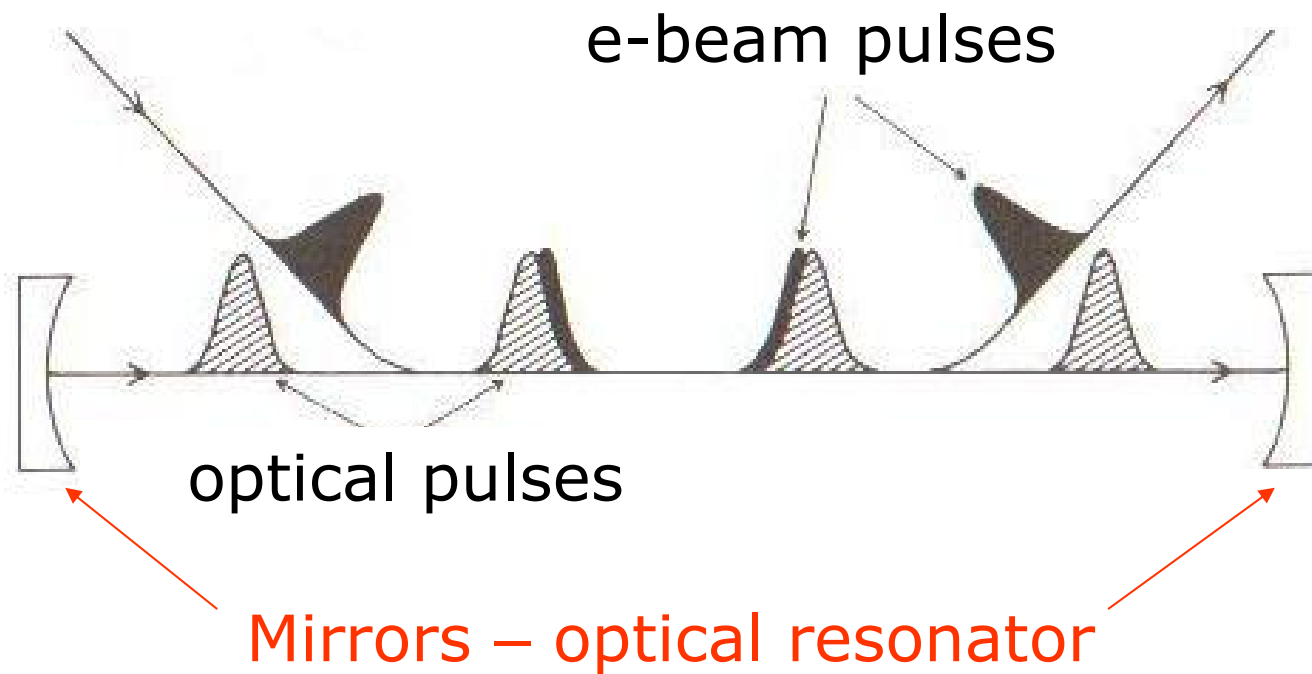
Regenerative Amplifier FEL - RAFEL

■ Amplifier

- Seeded
- Self-amplified spontaneous emission

SASE

e-beam & optical pulses oscillator / RAFEL



FEL – output power

$$P(IR) \sim \eta \times P(e\text{-beam})$$

$$P(e\text{-beam}) = I U \approx I E/e$$

E – e-beam energy

$$U=50\text{MeV}, I=1\text{A} \Rightarrow$$

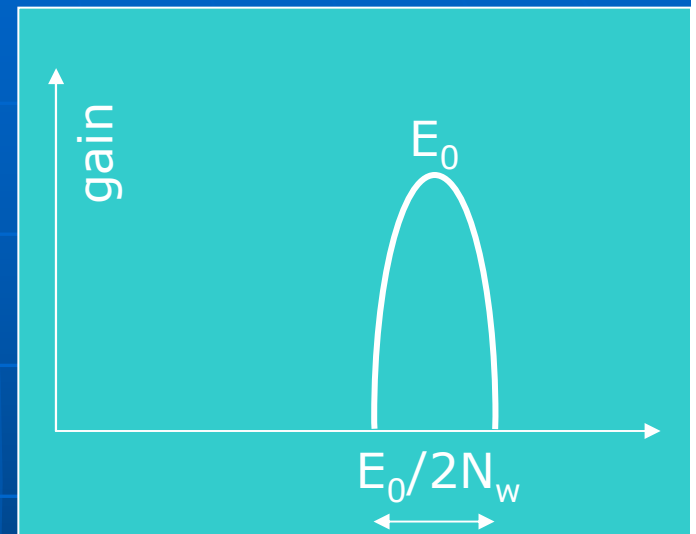
$$P(e\text{-beam}) = 50 \text{ MW (!)}$$

FEL – extraction efficiency η

- Low-gain regime: oscillator

$$P(IR) \approx P(e\text{-beam}) / 2N_w$$

$$\eta \approx 1/2N_w \sim 2\text{-}3\%$$



- High-gain regime: amplifier or “Regenerative Amplifier”

$$P(IR) \approx P(e\text{-beam}) \times \rho \quad (\rho - \text{“Pierce parameter”})$$

$$\eta \approx \rho < 1\%$$

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Wall-plug efficiency

Goal: 10%

$$P(IR) \sim \eta \times P(e\text{-beam})$$

$\eta \sim 2\text{-}3\%$ for oscillator
 $<1\%$ for amplifier

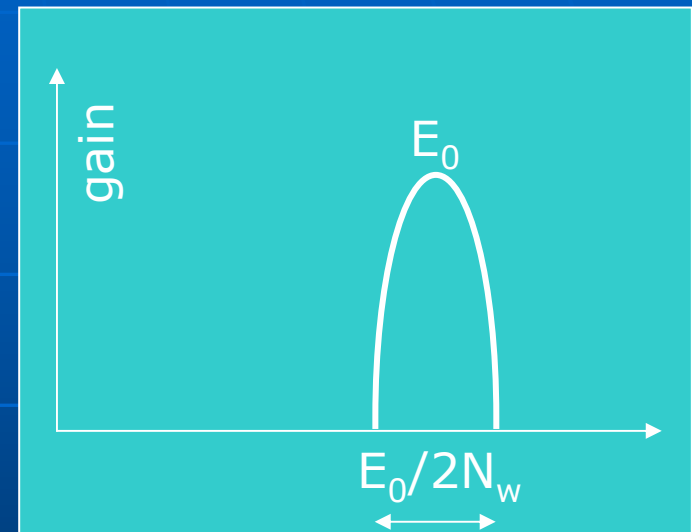
Challenges:

1. Low extraction efficiency

(e-beam to optical energy)

2. High RF losses in accelerator

(cavity load)



Increasing Efficiency

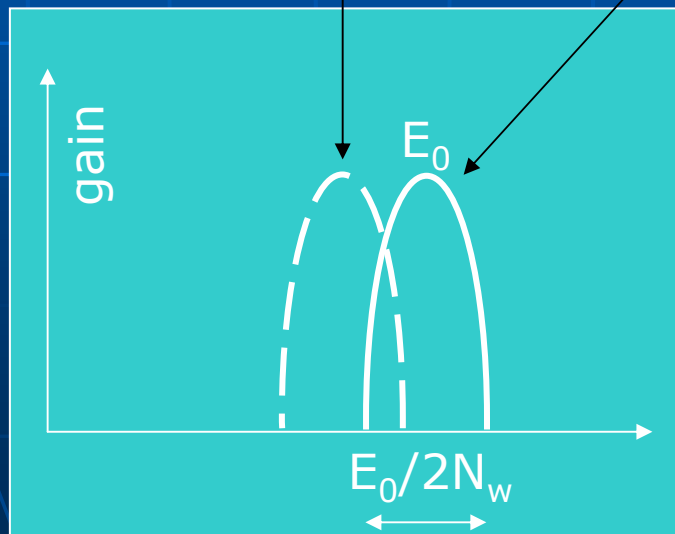
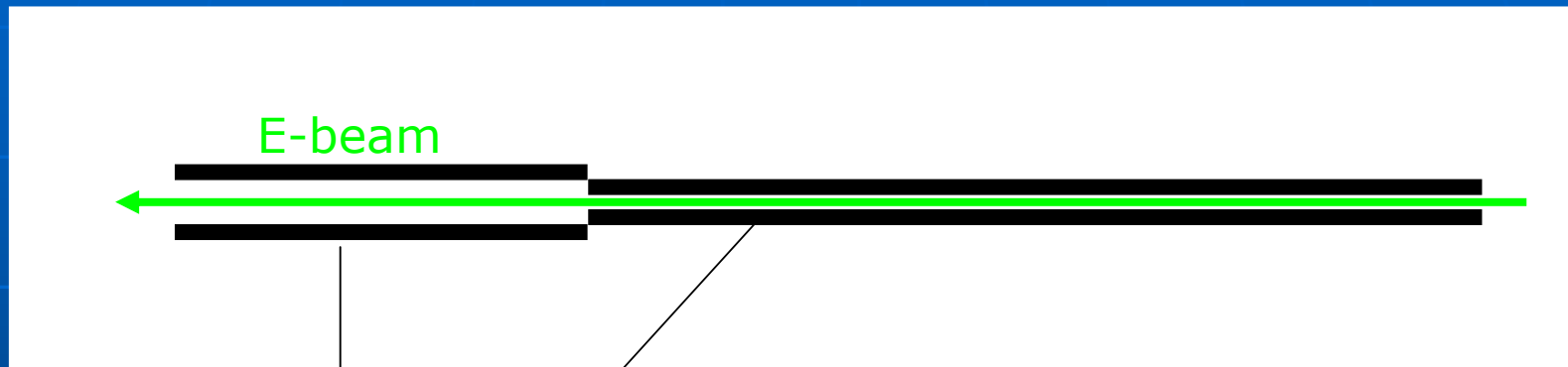
Low extraction efficiency

- Increasing extraction
- e-beam energy recovery

High RF losses in accelerator

- Decreasing RF losses

1. Increasing extraction: Tapered undulator



Tapering effective for

Amplifier $\eta \sim 2-3\%$

Oscillator $\eta \sim 3-4\%$

external seed *or*
internal etalon

☹: worse

energy recovery

higher e-beam energy spread

2. e-beam Energy Recovery

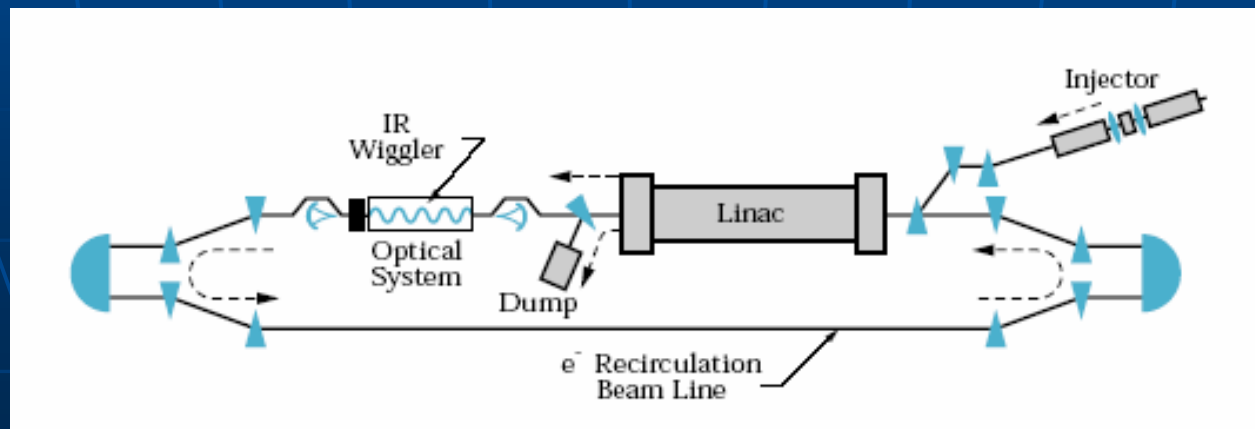
ERL – Energy Recovery Linac

20% RF-to-IR efficiency

$\leq 2\%$ extraction + 90% recovery

50% DC-to-RF conversion \Rightarrow

Overall efficiency $\sim 10\%$



ERL FEL at Jefferson Lab (US)

3. Decreasing RF losses

RF power = e-beam load
+ Cavity Load (CL) => **loss**

$$CL = |E|^2 L / \rho = U^2/R$$

$$R = \rho L$$

$\rho \sim 5-10 \text{ M}\Omega/\text{m}$ at 180 MHz

$\rho \sim 50-65 \text{ M}\Omega/\text{m}$ at 3 GHz (S-band)

S-band: $E=50\text{MeV}$ $L=2\text{m}$ =>

$$CL = 20 \text{ MW (!)}$$

w/o current !

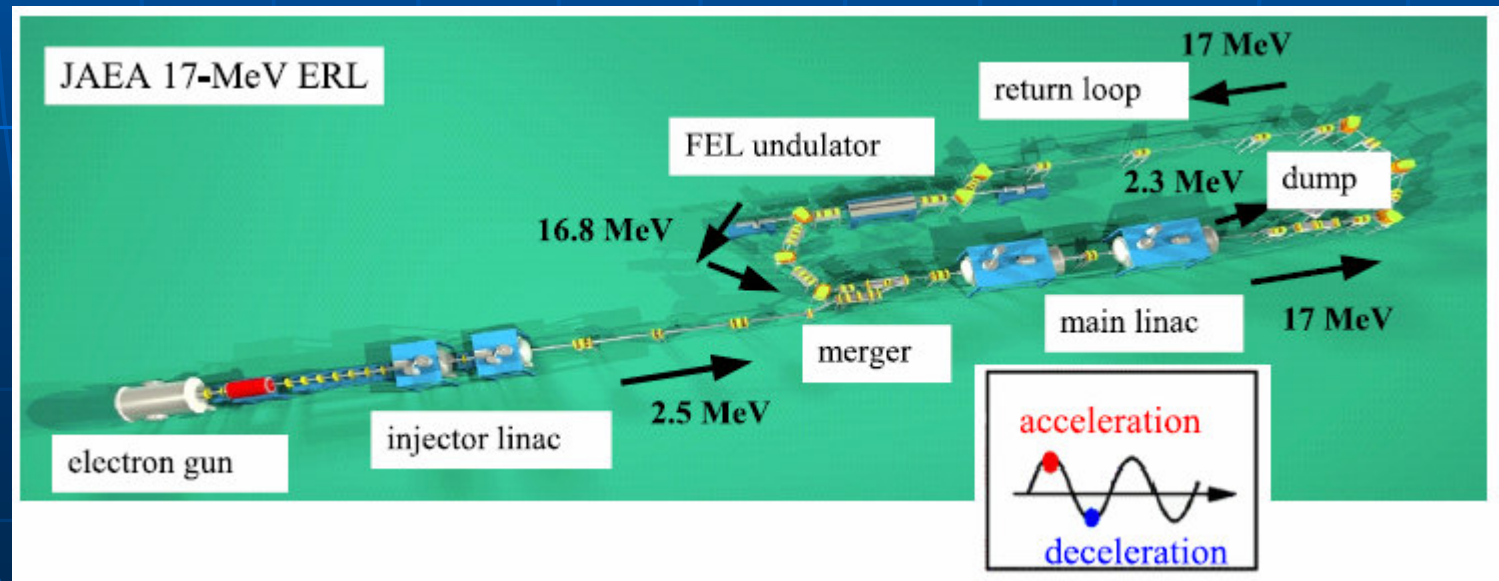
Solutions

- A. Superconducting cavities
- B. Multi-turn acceleration/recovery

A. SRF – superconducting RF linac

Drawbacks

- Higher cost (may be $\times 10$)
- Power for cryo-cooling ($\sim 50\text{kW}$ CW for 10MeV)
- Bulky, low robustness



JAEA 1kW FEL (Japan)

B. “Warm” multi-turn Energy-Recovery Linac (ERL)

- Cavity load (CL) = U^2/R

2-turn ERL: 75% decrease in CL

$$U_2 = U_1/2 \Rightarrow$$

$$CL_2 = CL_1/4$$

$$CL_3 = CL_1/9$$

$$CL_4 = CL_1/16$$

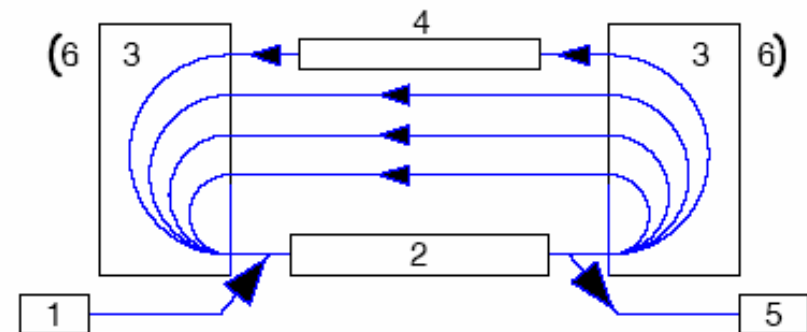


Figure 1: Scheme of the accelerator-recuperator based FEL. 1 - injector, 2 - accelerating RF structure, 3 - 180-degree bends, 4 - undulator, 5 - beam dump, 6 - mirrors of the optical resonator.

Multi-turn Energy-Recovery Linac (ERL)

Advantages

- Reduced size
- Reduced RF power
- Reduced cost

Problem

Complicated
e-beam optics

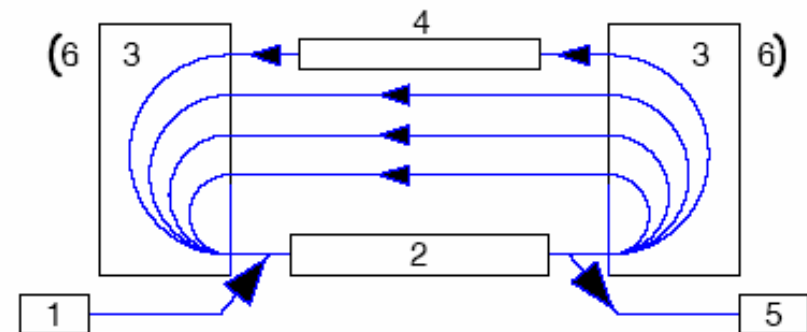
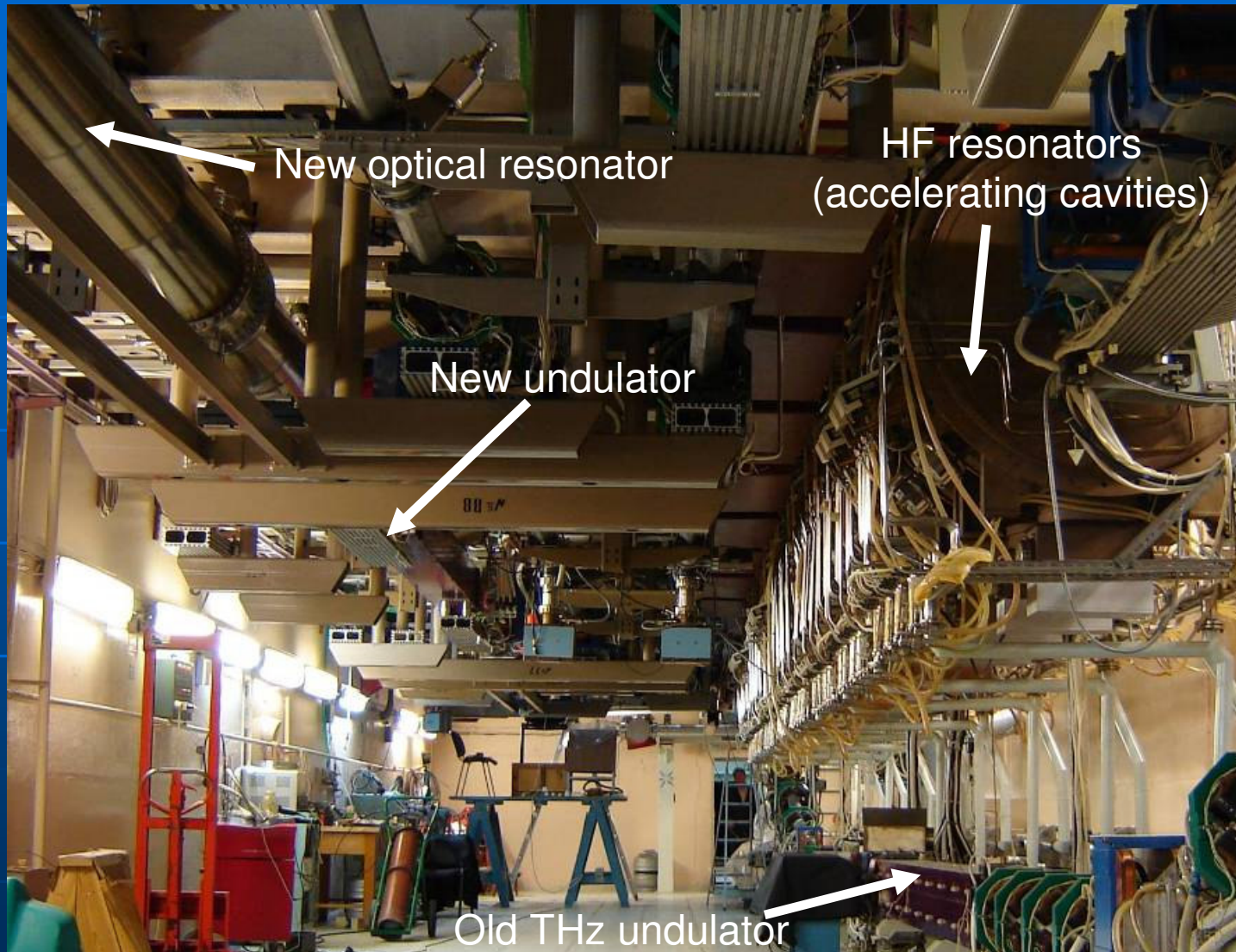


Figure 1: Scheme of the accelerator-recuperator based FEL. 1 - injector, 2 - accelerating RF structure, 3 - 180-degree bends, 4 - undulator, 5 - beam dump, 6 - mirrors of the optical resonator.

World-first multi-turn ERL FEL



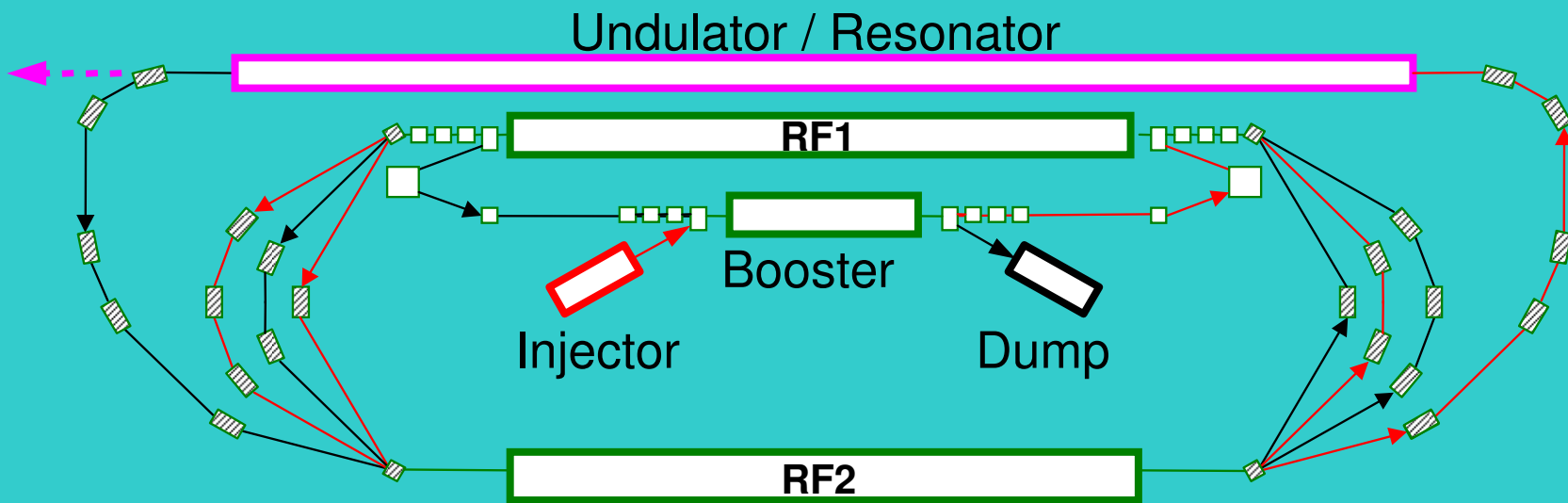
$\lambda=40-240\mu\text{m}$

>100kW
peak

~0.5 kW
average

Budker Institute of Nuclear Physics, Russia
Courtesy Prof. Vinokurov N.A.

Multi-turn separate-track ERL FEL

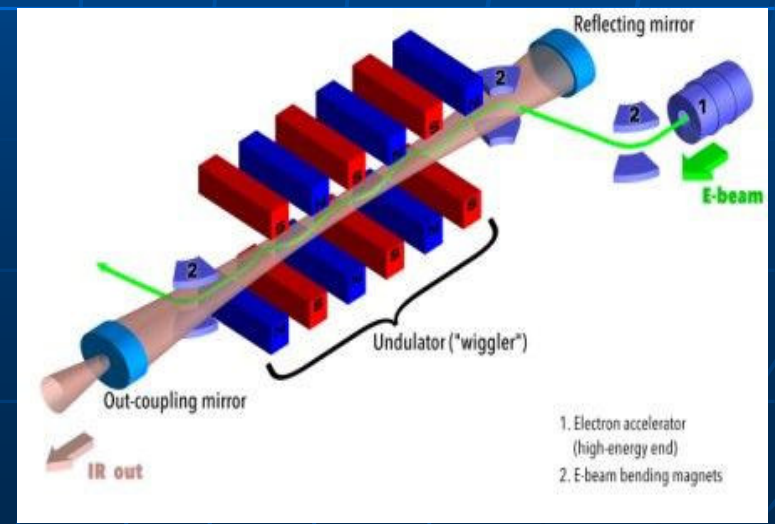
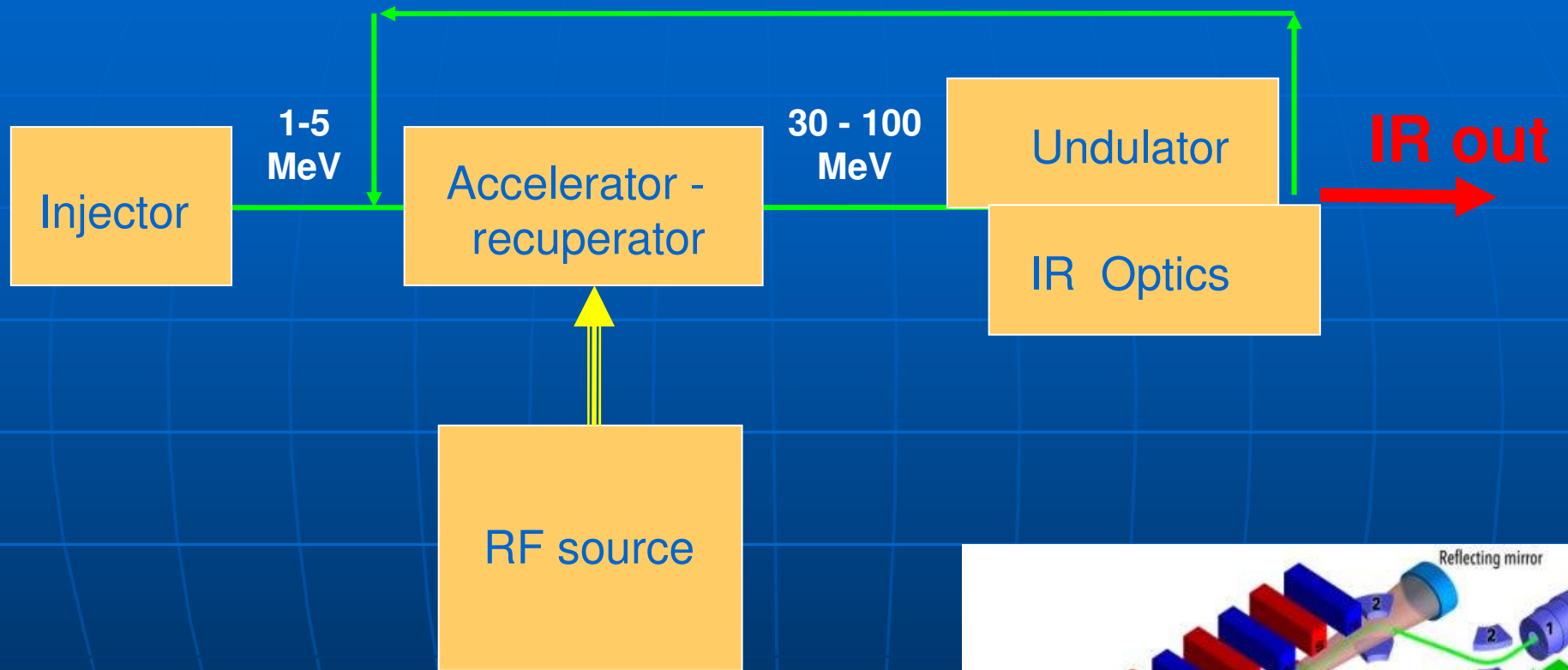


Y. Socol et al. *Phys. Rev. Spec. Topics – Accelerators & Beams* 2011
with Budker INP (Russia)
Helmholtz Zentrum Berlin

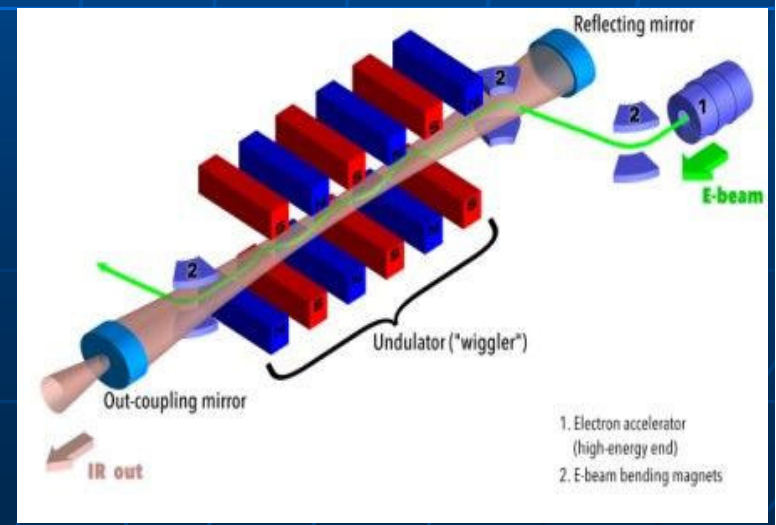
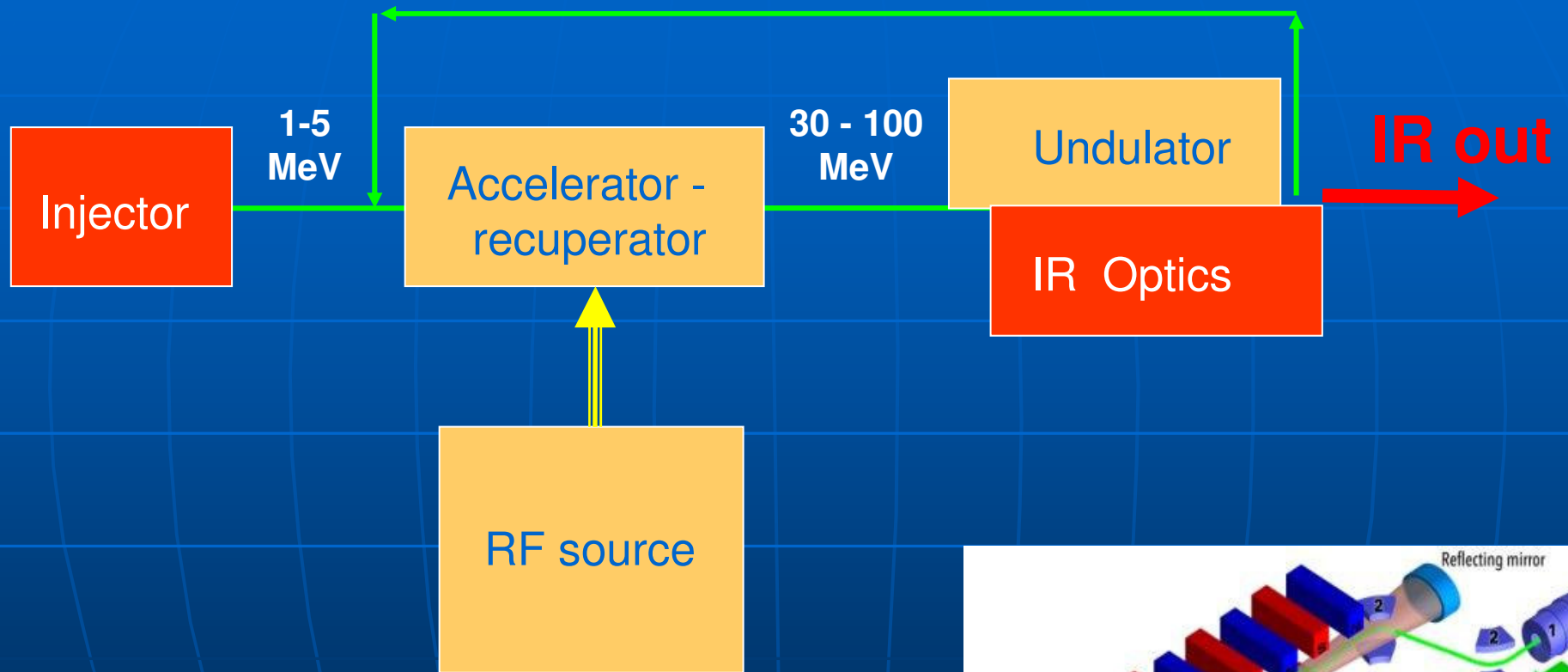
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FEL Subsystems



“Bottlenecks” for high-power FEL



“Bottlenecks” for high-power FEL

■ Injector

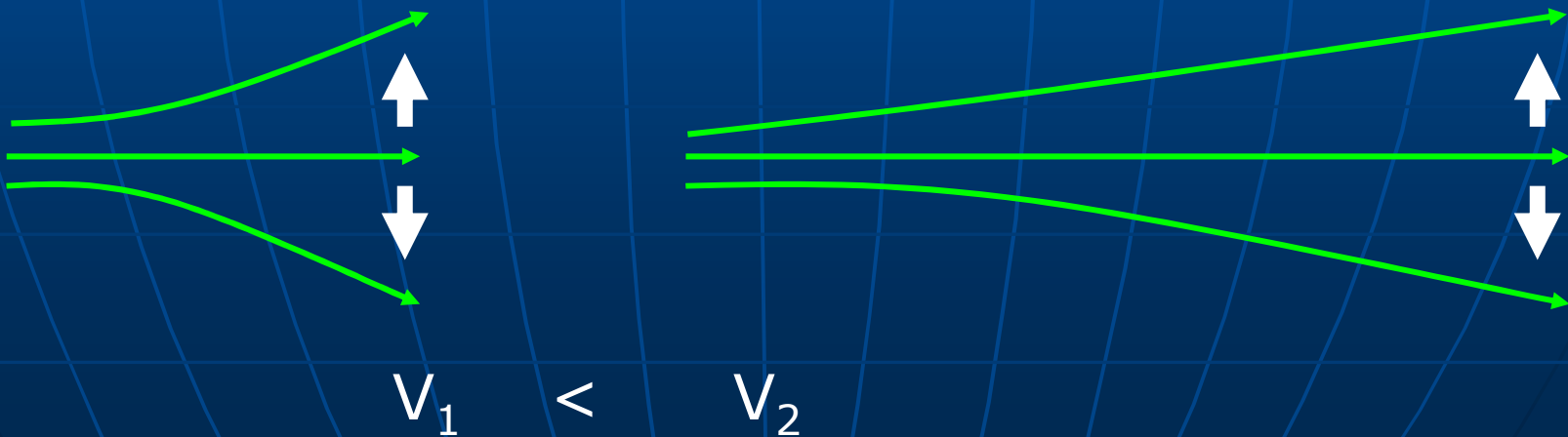
- High pulse current (> 25 A)
- Low energy spread (rms $< 0.5\%$)
 - Medical/industrial e-beams: rms $\sim 5-10\%$
- Low angular spread ($\Delta\theta \Delta r \ll \lambda$)

■ IR Optics

- High power density (> 10 MW / cm²)
- Presence of ionizing radiation

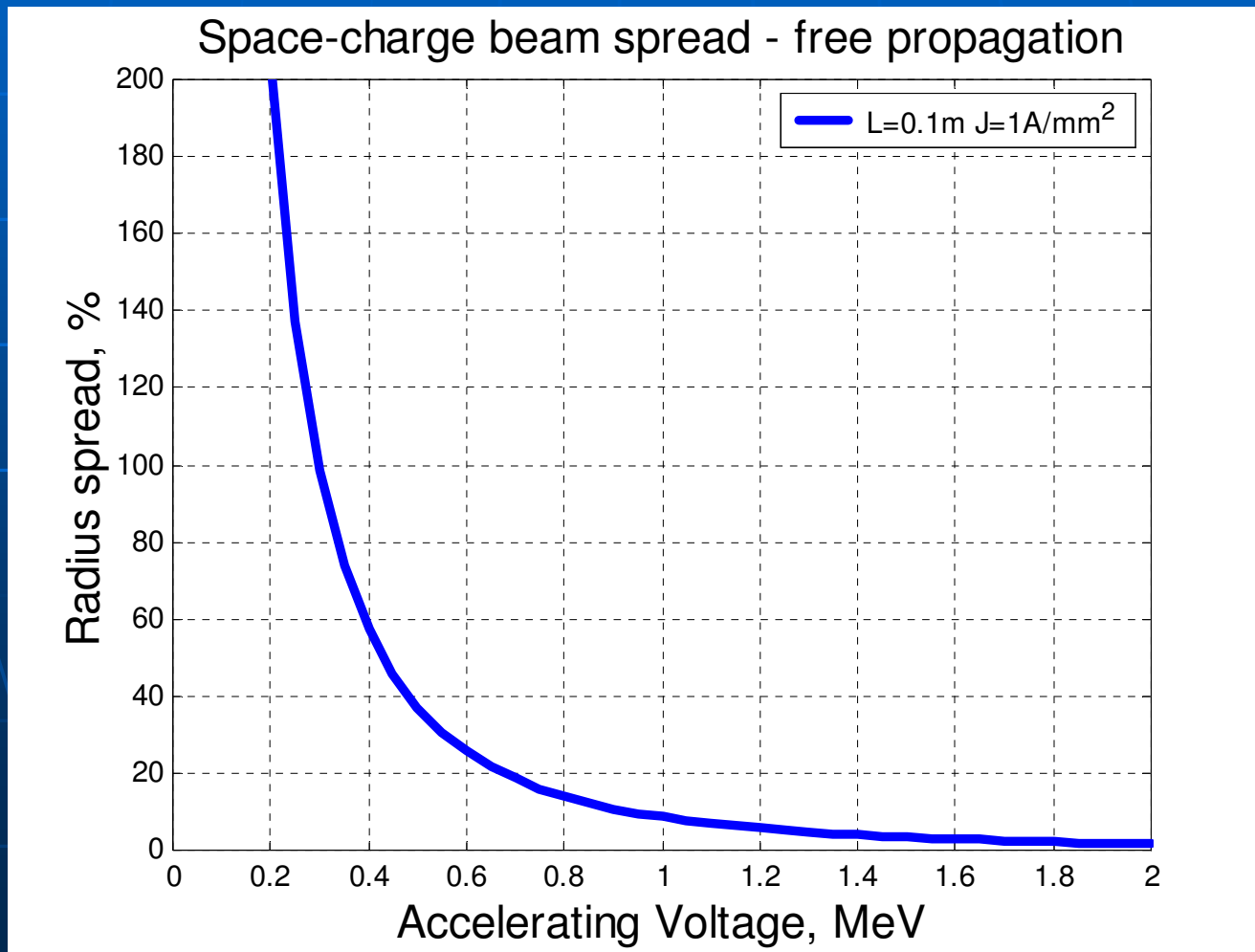
Why injector ?

- Space-charge e-beam spread
- “Emittance growth” –
e-beam quality down
- Important at low energies



Why injector ?

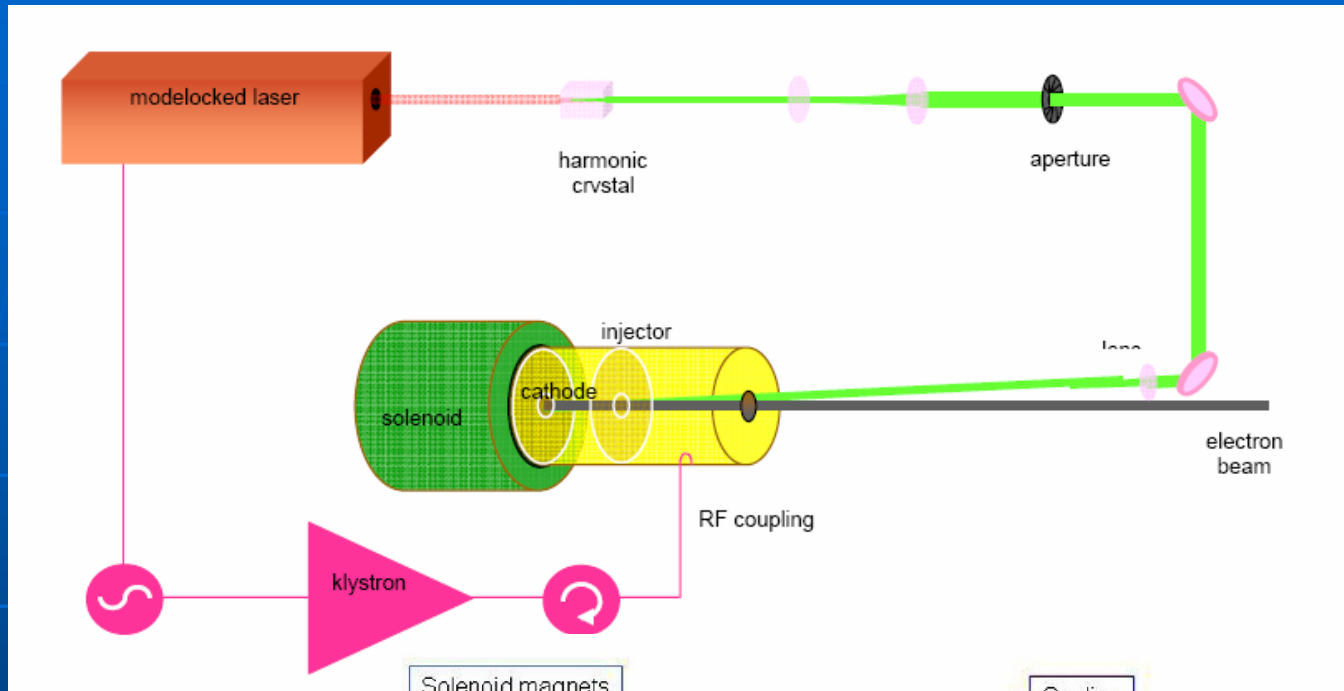
Space-charge: low energies



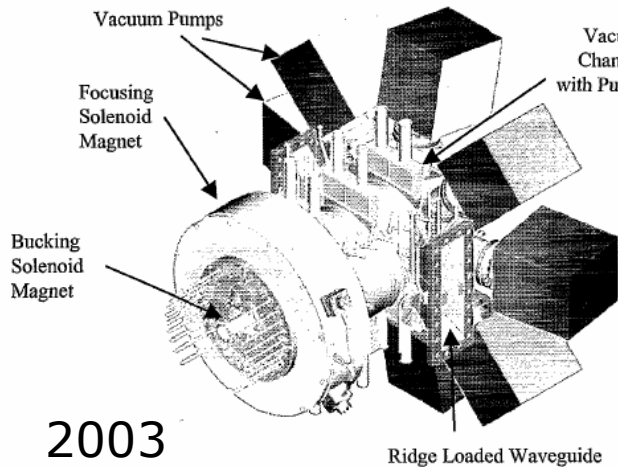
Injector => cathode

- Thermionic cathode
 - Limited current
 - Limited e-beam quality
acceptable for IR-FEL
- Photo-cathode
 - Low working time (\sim hours)
 - Low stand-by time (days to weeks)
 - Size, complexity, cost (\sim M\$)

Los-Alamos photo-cathode gun



2008



2003

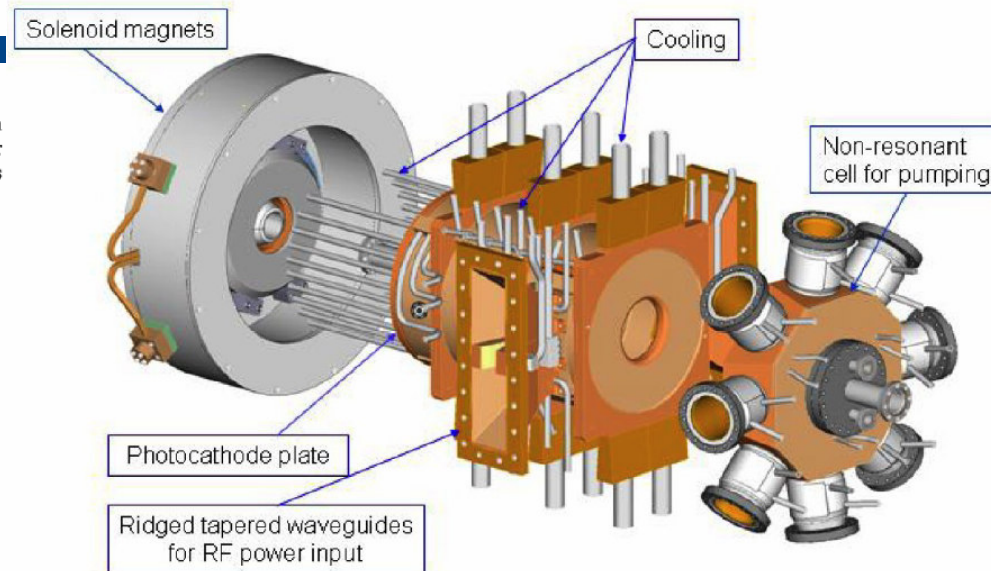


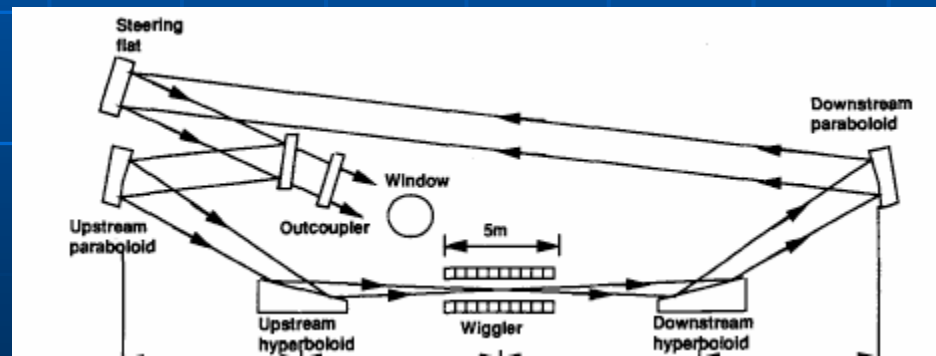
Figure 3. Normal-conducting CW 2½-cell RF gun.

IR Optics – power handling

Problem: high flux + ionizing radiation

Anticipated solutions

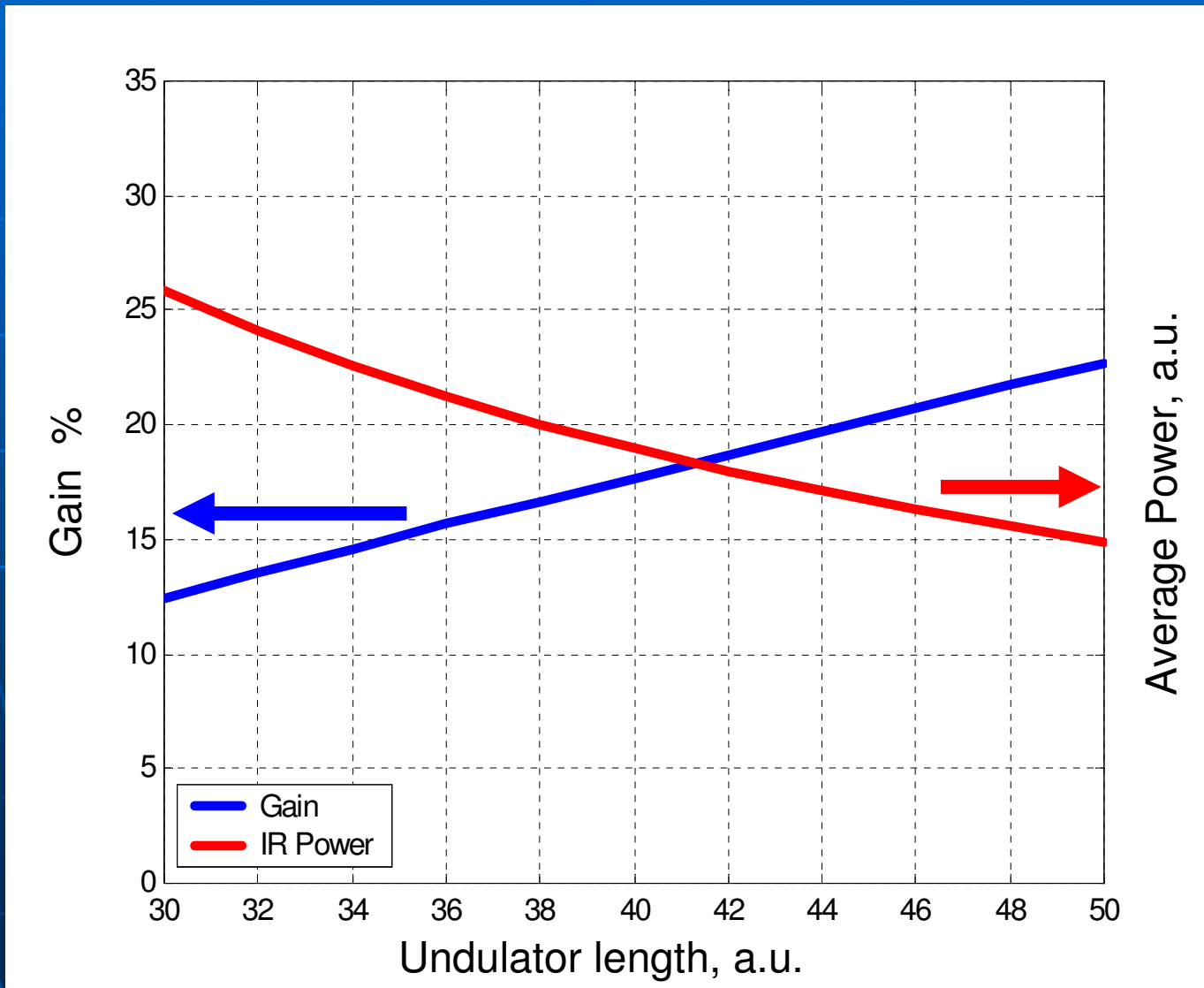
- Beam-expanding at grazing incidence



D. Dowell, IEEE J. Quant. Electr. 1991

- Metal mirrors or gratings

System: power-gain trade-off



More system problems (partial list)

- Vibrations
 - Optical resonator
 - RF-linac
 - Liquid helium (for cryo-systems)
- Ionizing radiation

Ionizing radiation problem

$$P(\text{IR}) = 1 \text{ MW}$$

$$I = 1 \text{ A} \quad (E = 50 \text{ MeV} \quad \eta = 2\%)$$

$$\text{Interception } 10^{-4} \Rightarrow I(\text{eff}) = 0.1 \text{ mA}$$

$$D = D' I(\text{eff}) t / L^2$$

$$D'(50 \text{ MeV}) \sim 10^5 \text{ R m}^2 / \text{A s}$$

- $t = 10 \text{ s}$
- $L = 10 \text{ m}$ – distance to crew members

$$D (\text{pulse}) = 1 \text{ R}$$

$$D (\text{permit}) = 5 \text{ R/year!}$$

typical shielding: 10-20 cm Fe (Pb)

1000-2000 kg/m²

Radiation risk in perspective

- 350 R exposure: LD₅₀ (if no treatment)

- 100 R

First signs of radiation illness –
vomiting, fatigue, nausea

Life expectancy 82 -> 81.5 years

- 10 R

Life expectancy 82 -> 81.95 (may be)

Radiation – curious facts

- I'm radioactive;
You're too!
 - ^{14}C is present in air, plants & all living
- US FDA: non-radioactive spirits –
not suitable for human consumption!

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Military and Aerospace: US Directed Energy Weapons

Army



Solid state
laser

Navy



FEL

Air Force



Chemical
laser

Navy FEL Program

Goal : 100kW CW

Cost : \$ 163M

2011: \$ 23M

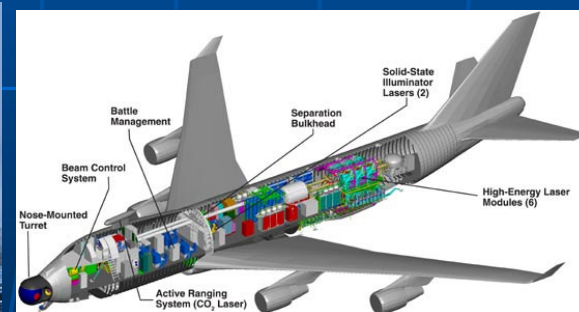
Boeing

Future: 1MW CW



PHOTONICS
spectra

November / 2009



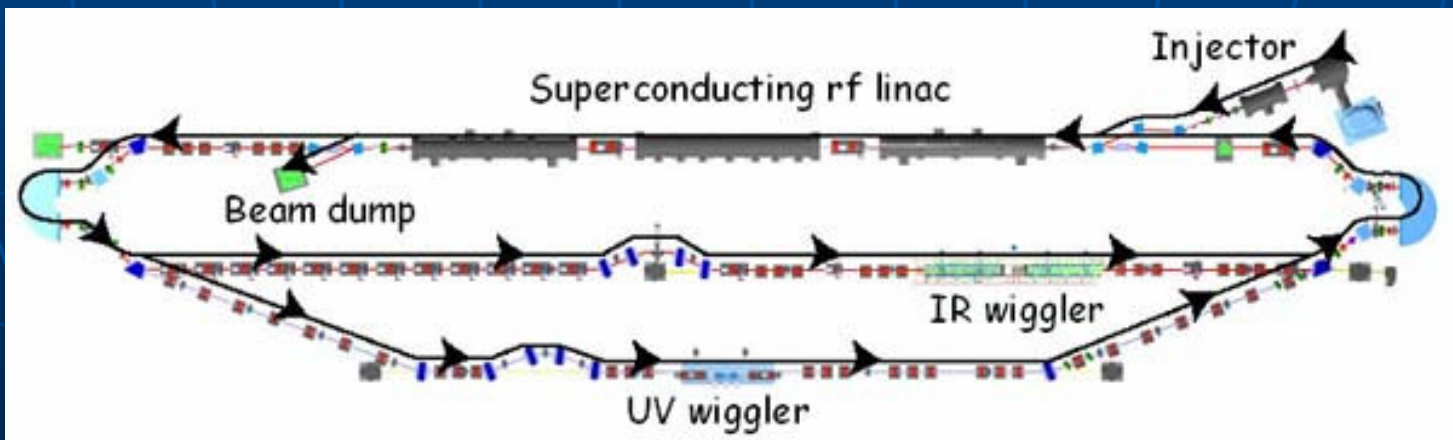
Airborne Laser (ABL)

COIL

US Navy FEL Program

Thomas Jefferson National Accelerator Facility

Year	2004	2006
Power (average), kW	10	14.2
Wavelength, μm	6.0	1.6
Duration, s	~20	~30
Achieved	once	once



US Navy FEL Program

Goal: 100kW CW (lab prototype)

Cost: \$ 163M

2009-2010: 2 x 7 M\$

to Boeing & Raytheon

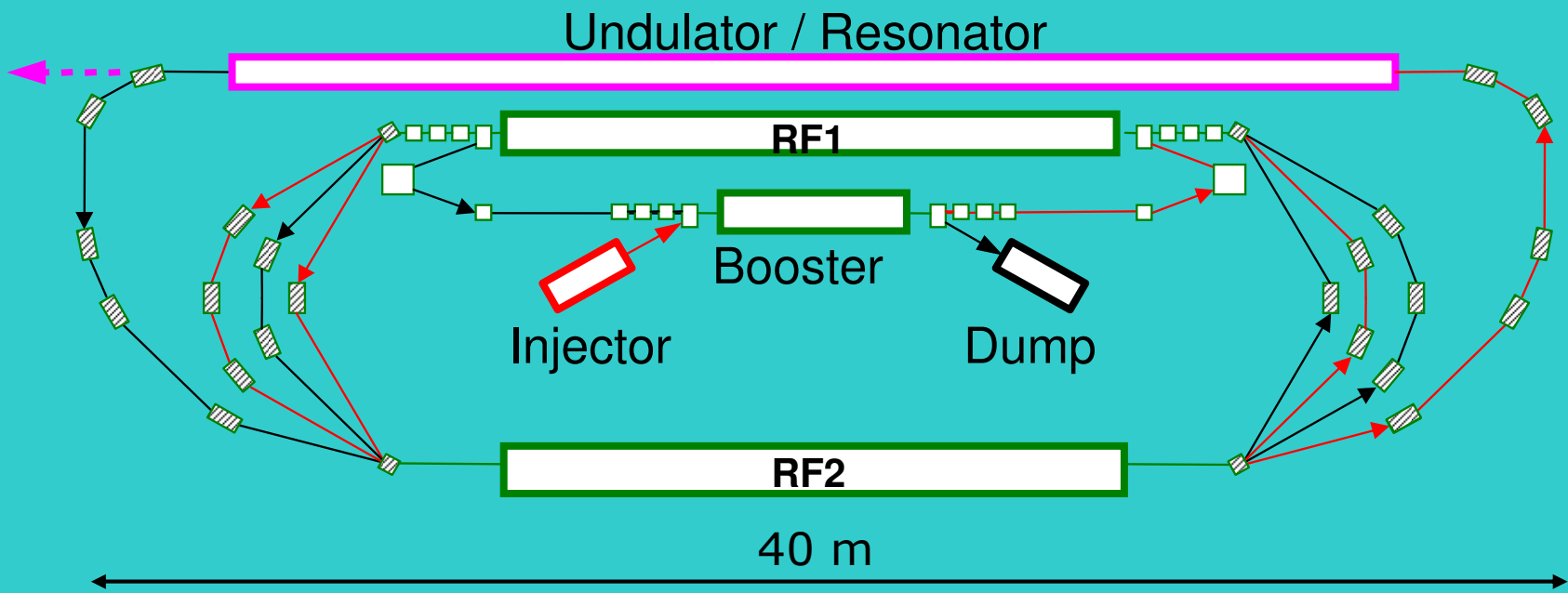
2011: \$ 23M

to Boeing (design only)

Final goal: 1MW CW



13.5-nm FEL for EUV lithography



Y. Socol et al. Phys. Rev. ST Accel. Beams **14**, 040702 (2011).

with Budker INP (Russia)

Helmholtz Zentrum Berlin

Photo-chemistry

- Surface treatment
- Nanotube synthesis
- Isotope separation
- ?

FEL advantages

- Tuned photons in IR-UV
- 2-photon reactions
- Ultra-short ($<1\text{ps}$) pulses

Anticipated trends – high-power

“It is difficult to make predictions, especially about the future”

Mark Twain ?

- Warm (normal-conducting) accelerators
- Thermionic cathodes

Conclusions

- FEL – unique tunable all-electric laser
- Breakthrough 2009-10:
 - 1) technological maturity proven
 - 2) multi-turn scheme =>
reduced size, weight, cost
- Further progress anticipated